

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Frank W. Sudia

Serial No.: 09/633,149

Filed: August 4, 2000

For: **BLOCKED TREE  
AUTHORIZATION AND  
STATUS SYSTEMS**

Examiner: DINH, Minh

Art Unit: 2132

Atty. Docket: CSM-10601

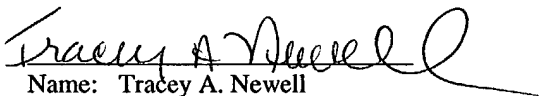
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Name: Tracey A. Newell

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants and/or their attorney in compliance with the requirements of 37 C.F.R. 1.56. Copies of the documents are also being submitted.

Since this Information Disclosure Statement is being filed prior to the mailing of a Final Office Action or a Notice of Allowance, a check for \$180.00 is enclosed to cover the fee due in accordance with §1.17 (p).

The Examiner is respectfully requested to initial the space adjacent to each document on the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner and made of record in this application.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 03-1721**. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at 617-248-4792.

Respectfully submitted,  
CHOATE, HALL & STEWART



Elijah Cocks  
Registration No. 47,499

Date: May 12, 2004

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	1	of	1	Attorney Docket Number	CSM-10601
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**Complete if Known**

Application Number	09/633,149
Filing Date	August 4, 2000
First Named Inventor	Frank W. Sudia
Art Unit	2132
Examiner Name	DINH, Minh

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,397,329	05-28-2002	William Aiello, et al.	
		US-2004/0049675	03-11-2004	Silvio Micali, et al.	
		US-2003/0221101	11-27-2003	Silvio Micali	
		US-2002/0165824	11-07-2002	Silvio Micali	
		US-2002/0107814	08-08-2002	Silvio Micali	
		US-5,420,927	05-30-1995	Silvio Micali	
		US-5,604,804	02-18-1997	Silvio Micali	
		US-5,610,982	03-11-1997	Silvio Micali	
		US-6,301,659	10-09-2001	Silvio Micali	
		US-5,793,868	08-11-1998	Silvio Micali	
		US-5,717,757	02-10-1998	Silvio Micali	
		US-5,717,759	02-10-1998	Silvio Micali	
		US-6,292,893	09-18-2001	Silvio Micali	
		US-6,487,658	11-26-2002	Silvio Micali	
		US-09/617,050	Filed 07-14-2000	Frank W. Sudia	

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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3.) <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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